### MONSANTO COMPANY Patent Department, E2NA 800 N. Lindbergh Boulevard St. Louis, Missouri 63167







Attorney Docket No.: 38-21(15454)B

#### FORM PTO-1083

In re application of: Dane K. FISHER et al.

Application No.:

09/394,745

Filed:

September 15, 1999

For:

Nucleic Acid Molecules and Other Molecules Associated with Plants

#### COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. § 1.19 and § 1.27 has been established by a statement previously submitted.
  - A statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27 is enclosed.

No additional claim fee is required.

The claim fee has been calculated as shown below:

(Col. 1)				((	Col. 2)	(Co	ol. 3)
		Claims					
:	Remaining After			Highest No. Previously			
						Present	
	An	endment		Paid For		Extra	
Total Claims	*	4	MINUS	**	20	П	0
Indep. Claims	*	2	MINUS	**	3	ш	0
THE TREE PRESENTATION OF MILITIPLE DEPENDENT CLAIM							

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	Additional
Rate	Fee
x 9 =	
x 40 =	
+ 135 =	
Total Addit.	
Fee	

OTHER THAN A SMALL ENTITY

	Additional		
Rate	Fee		
x 18 =	\$ 0.00		
x 80 =	\$ 0.00		
+ 270 =			
TOTAL	\$ 0.00		

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

Any patent application processing fees under 37 C.F.R. § 1.17.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

	Please charge my Deposit Account No. <u>13-4125</u> in the amount of \$ referencing docket number  A duplicate copy of the sheet is attached.
	Check number in the amount of \$ is attached.
$\boxtimes$	The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <u>13-4125</u> referencing document number <u>38-21(15454)B</u> . A duplicate copy of this sheet is attached.
	Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.

Date: October 10, 2000

Linda T. Parker (Reg. No. 46,046), Agent for Applicants by David R. Marsh (Reg. No. 41,408)

OR

OR



October 10, 2000

OCT 1 0 2000 BY

PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTY

WASHINGTON, DC 20004-24

Attention: Box Non-Fee-Amendment

Commissioner for Patents Washington, DC 20231

Re:

U.S. Utility Application No. 09/394,745

Filed:

September 15, 1999

For:

Nucleic Acid Molecules and Other

Molecules Associated with Plants

Inventors:

Dane K. FISHER et al.

Atty. Docket: 38-21(15454)B

Sir:

Transmitted herewith for appropriate action by the U.S. Patent and Trademark Office (PTO) are the following documents:

- 1. Form PTO-1083 (in duplicate);
- 2. Preliminary Amendment; and
- 3. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sincerely,

David R. Marsh (Reg. No. 41,408)

**Enclosures** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dane K. FISHER et al.

Appln. No.: 09/394,745

Filed: September 15, 1999

Nucleic Acid Molecules and Other For:

Molecules Associated with Plants

Art Unit:

1631

TECH CENTER 1600/2900

Examiner:

S. Siu

Atty. Docket:

38-21(15454)B

## **Preliminary Amendment**

**Box NON-FEE AMENDMENT** Commissioner for Patents Washington, D.C. 20231

Sir:

Please enter the following preliminary amendment

Cancel original claims 1-7 and enter new claims 8-11.

-- 8. A microarray having a substrate with a surface comprising 10<sup>3</sup> nucleic acid molecules or more where at least 10% of the nucleic acid molecules are different and at least about 250 nucleotide residues and complementary to a molecule having a sequence selected from the group consisting of SEQ ID/NO: 5776 and SEQ ID NO: 5781 and SEQ ID NO: 5782 and SEQ ID NO: 5783 and SEQ ID NO: 5786 and SEQ ID NO: 5787 and SEQ ID NO: 5800 and SEQ ID NO: 5815 and SEQ ID NO: 5818 and SEQ ID NO: 5821 and SEQ ID NO: 5823 and SEQ ID NO: 5828 and SEQ ID NO: 5830 and SEQ ID NO: 5836 and SEO ID NO: 5838 and SEQ ID NO: 5840 and SEQ ID NO: 5845 and SEQ ID NO: 5849 and SEQ ID NO: 5850 and SEQ ID NO: 5851 and SEQ ID NO: 5859 and SEQ